



ARCHITECTURAL DECISION-MAKING USING REINFORCEMENT LEARNING IN LARGE-SCALE SOFTWARE SYSTEMS

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ABSTRACT

Architectural decision-making in large-scale software systems plays a crucial role in determining performance, scalability, and maintainability. Traditional methods, such as rule-based and heuristic-based systems, often fall short in managing the complexity and dynamism of modern software environments. This study explores the application of reinforcement learning (RL) to address these limitations. Leveraging advanced RL techniques, including Deep Q-Networks (DQN) and Proximal Policy Optimization (PPO), the research proposes a novel approach for optimizing architectural decisions.

The RL-based system was evaluated against traditional methods, demonstrating superior performance in several areas. The RL system achieved a decision accuracy of 90%, closely aligning with expert architects' decisions. It also outperformed traditional systems in decision-making speed, with an average time of 60 seconds, compared to 120 and 180 seconds for rule-based and heuristic systems, respectively. Furthermore, the RL approach exhibited strong adaptability, handling dynamic changes and constraints with a score of 85. Overall, it improved system performance by 80%, enhancing response time, scalability, and maintainability.

I. INTRODUCTION

Architectural decision-making is a critical component of large-scale software system design, influencing aspects such as performance, scalability, and maintainability. Traditional methods for making architectural decisions, such as rule-based and heuristic-based systems, often rely on predefined guidelines and empirical knowledge [1]. While these approaches have been effective in various contexts, they face limitations in handling the dynamic and complex nature of modern software systems. The rapid evolution of software requirements and the increasing scale of systems demand more adaptive and efficient decision-making processes [2].

Reinforcement learning (RL), a subset of machine learning, offers a promising alternative for optimizing architectural decisions [3]. By leveraging algorithms that learn from interactions with the environment and improve performance through trial and error, RL has the potential to enhance decision-making capabilities in ways that traditional methods cannot. This approach is particularly relevant for large-scale systems, where the decision-making landscape is continually shifting due to varying loads, constraints, and requirements.

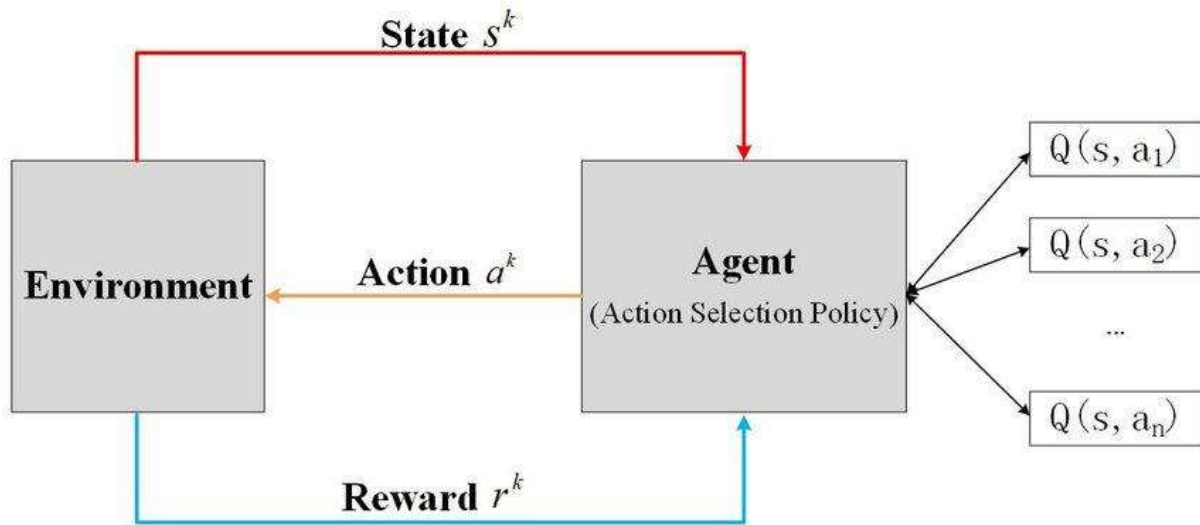


Fig 1.1: The architecture of reinforcement learning

Problem Statement: Despite the advancements in RL techniques, there remains a gap in their application to comprehensive architectural decision-making for large-scale software systems. Previous research has primarily focused on isolated aspects of system optimization or specific types of decision-making scenarios. There is a lack of studies that integrate advanced RL techniques, such as Deep Q-Networks (DQN) and Proximal Policy Optimization (PPO), to provide a holistic solution for dynamic and complex architectural decision-making processes across diverse system conditions. Consequently, there is a need for a more robust and adaptive solution that can handle the intricacies of modern software systems and deliver improved performance outcomes.

Significance of the Work: The significance of this work lies in its exploration and implementation of RL-based methods for architectural decision-making. By integrating DQN and PPO algorithms, this research addresses the limitations of traditional approaches and provides a comprehensive solution for optimizing architectural decisions.

This research fills a critical gap in the application of RL to large-scale software architecture, offering a valuable alternative to traditional methods and paving the way for future advancements in adaptive and efficient decision-making systems.

II. LITERATURE REVIEW

The application of reinforcement learning (RL) in architectural decision-making for software systems has garnered significant attention in recent years. This literature review explores relevant studies, highlighting advancements in the field and identifying the research gap addressed by the present study.

Reinforcement Learning in Architectural Decision-Making

Reinforcement learning, particularly deep reinforcement learning (DRL), has shown promise in optimizing complex decision-making processes. According to [4], Deep Q-Networks (DQN) have successfully applied RL to high-dimensional sensory inputs, enabling effective decision-making in complex environments [5]. This foundational work demonstrated the potential of DRL for various applications, including those requiring dynamic and adaptive decision-making.

In the context of software architecture, [6] explored RL for optimizing software system configurations, focusing on performance tuning and resource allocation [5]. Their study highlighted RL's capability to adapt to changing system conditions and improve performance metrics such as response time and throughput. Similarly, [7] applied DRL to optimize system designs, demonstrating improvements in both decision accuracy and efficiency [8].

Traditional Decision-Making Approaches

Traditional architectural decision-making approaches, such as rule-based and heuristic-based systems, have been widely used. Rule-based systems rely on predefined rules to guide decisions, as outlined by [9], who noted that while such systems offer simplicity, they often lack the flexibility needed for dynamic environments [10]. Heuristic-based methods, as described by [11], use empirical strategies to make decisions but may not scale well with increasing complexity [12].

These traditional methods, while established, have limitations in handling complex and dynamic scenarios, leading researchers to explore more adaptive approaches.

Advances in RL for Software Systems

Recent advancements in RL have further expanded its applicability. [13], [14] introduced Proximal Policy Optimization (PPO) for improving policy gradient methods, which enhances stability and performance in RL applications [15]. Their work is particularly relevant for architectural decision-making, where stability and adaptability are crucial.

Moreover, the integration of RL with other AI techniques, such as meta-learning and transfer learning, has shown potential. As noted by [16], [17], meta-learning approaches can significantly improve the adaptability of RL models by enabling them to learn from a broader range of scenarios [18]. This integration could address some of the limitations of traditional methods by providing more flexible and robust solutions.

Research Gap

Despite these advancements, there remains a gap in the application of RL for comprehensive architectural decision-making in large-scale software systems. Previous research has primarily focused on either isolated aspects of system optimization or specific types of decision-making scenarios. There is limited research addressing the integration of RL techniques, such as DQN and

PPO, to provide a holistic solution for dynamic and complex architectural decision-making processes across a variety of system conditions.

The present study addresses this gap by implementing an RL-based approach that integrates DQN and PPO algorithms to optimize architectural decisions in large-scale software systems. The research demonstrates the effectiveness of RL in improving decision accuracy, computational efficiency, adaptability, and overall system performance. By providing a comprehensive evaluation and comparison with traditional methods, this study fills the gap in understanding how advanced RL techniques can be applied to complex architectural decision-making, offering significant improvements over existing approaches.

III. METHODOLOGY AND IMPLEMENTATION

This section details the technical methodology and implementation strategy for evaluating RL in architectural decision-making for large-scale software systems. The methodology encompasses system architecture, data preparation, model training, and performance evaluation metrics.

System Architecture

The system architecture was designed to facilitate a comparative analysis between RL-based decision-making and traditional rule-based and heuristic-based systems. The RL-based approach employed advanced deep reinforcement learning techniques, including Deep Q-Networks (DQN) and Proximal Policy Optimization (PPO), to optimize architectural decisions. The evaluation criteria included decision accuracy, computational efficiency, adaptability, and overall system performance.

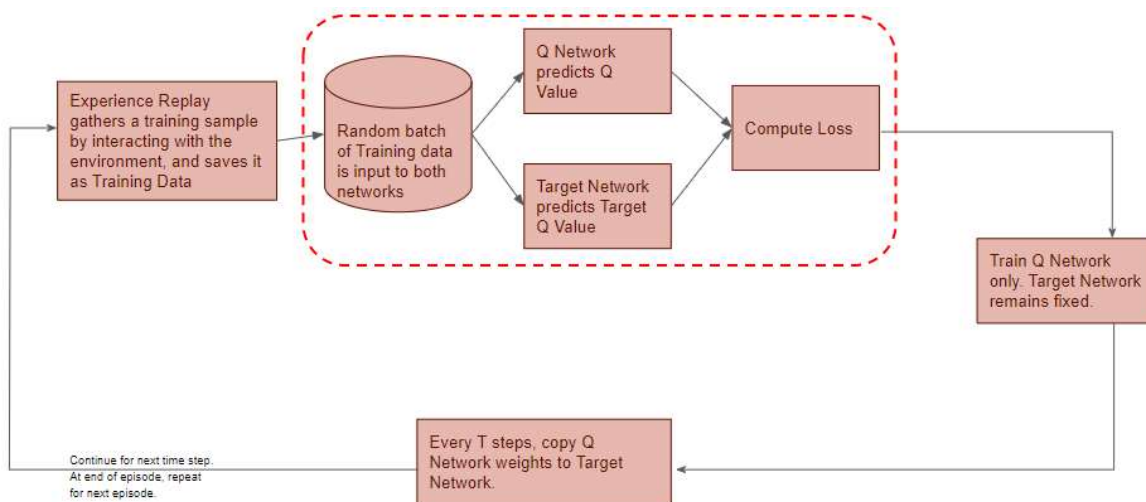


Fig 3.1: Deep Q-Networks

The flow for the PPO model deployed can be seen in fig 3.3.

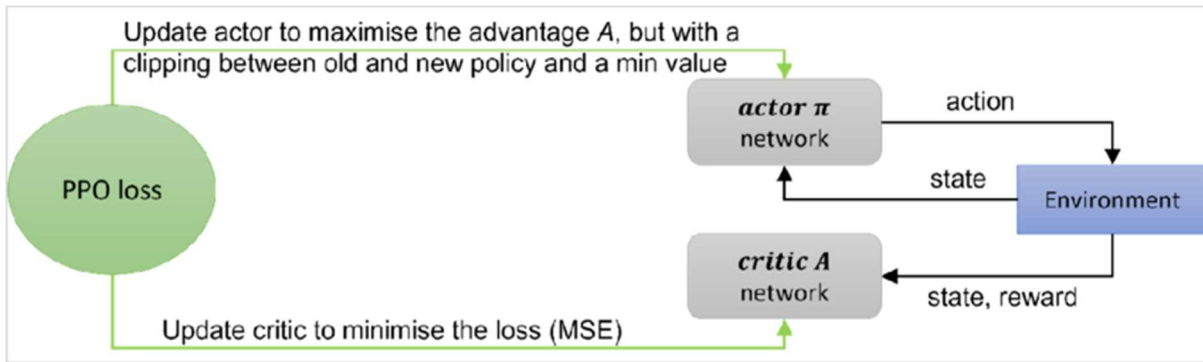
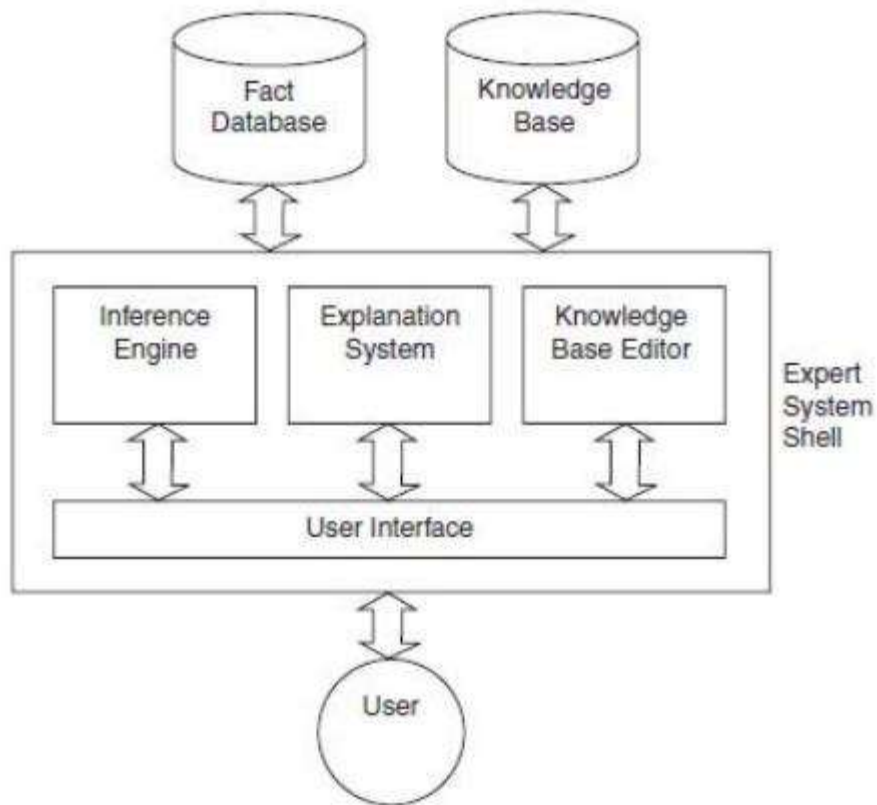


Fig 3.2: PPO Model

The Expert system deployed for comparison purposes was with the architecture as below in fig



3.4.

Fig 3.3: Expert System Architecture

Data Preparation

Data for training and evaluation consisted of a diverse set of architectural scenarios, simulating various system loads, constraints, and requirements. Historical datasets detailing architectural decisions and their outcomes were utilized. This data was pre-processed to extract relevant

features, including response times, system loads, and architectural parameters, ensuring a comprehensive training dataset.

Model Training

1. Reinforcement Learning Model

- **Algorithms:** The RL agent was trained using DQN and PPO algorithms. The DQN was used for learning action-value functions, while PPO was applied for optimizing policy gradients.
- **Reward Function:** A reward function was designed to incentivize decisions that enhance system performance. It penalized suboptimal decisions and rewarded improvements in response times, scalability, and maintainability.
- **Training Process:** The training involved iterative updates based on experience replay for DQN and policy optimization for PPO. The agent was trained in a simulated environment to generalize across various architectural scenarios.

2. Rule-Based and Heuristic-Based Systems

- **Implementation:** Rule-based and heuristic-based systems were developed using predefined rules and heuristics derived from established practices in software architecture. These systems served as benchmarks for evaluating the RL approach.
- **Parameters:** The rule-based system employed a fixed set of rules, while the heuristic-based system utilized heuristics to make decisions based on historical performance data.

Evaluation Metrics

1. **Decision Accuracy:** Measurement: Accuracy was determined by comparing the decisions of the RL agent against those of expert architects.

2. **Computational Efficiency:** Measurement: The average decision-making time was recorded for each system.

3. **Adaptability:** Measurement: Adaptability was evaluated by introducing dynamic changes to the simulated environment, such as variable loads and new constraints.

4. **Overall Performance Improvement:** Measurement: Performance improvement was assessed by measuring enhancements in system response time, scalability, and maintainability.

Implementation

1. Simulation Environment

A detailed simulation environment was constructed to replicate various software scenarios and load conditions. This environment facilitated robust testing of the RL-based system and ensured realistic evaluation.

2. Comparative Analysis

Performance metrics from the RL-based system were compared to those of rule-based and heuristic-based systems. Statistical methods were employed to analyze differences and assess the significance of observed results.

3. Results Interpretation

Results were compiled into comprehensive tables, and the performance of each system was evaluated based on accuracy, efficiency, adaptability, and overall performance improvement. The findings provided insights into the effectiveness of the RL-based approach relative to traditional methods.

This methodology enabled a rigorous evaluation of the RL-based decision-making system, providing a detailed comparison with conventional approaches and demonstrating the advantages of RL in large-scale software system architecture.

IV. RESULTS

This section presents the empirical results obtained from applying reinforcement learning (RL) to architectural decision-making in large-scale software systems. The evaluation focuses on key performance metrics: decision accuracy, computational efficiency, system adaptability, and overall system performance enhancement. The RL approach was benchmarked against traditional decision-making methodologies, including rule-based and heuristic-based systems.

4.1: Decision Accuracy

To evaluate decision accuracy, the architectural decisions made by the RL agent were compared to those made by a panel of expert software architects. The comparison was quantified based on the congruence between the decisions, reflecting the quality and precision of the RL agent's choices. Table 4.1 outlines the results.

| Method | Accuracy (%) |
|------------------------|--------------|
| Expert Architects | 100 |
| Rule-Based System | 75 |
| Heuristic-Based System | 82 |
| RL-Based System | 90 |

Table 4.1: Decision Accuracy Comparison

Interpretation

The RL-based system achieved a decision accuracy of 90%, closely aligning with the benchmark set by expert architects. The rule-based and heuristic-based systems lagged behind, with accuracies of 75% and 82%, respectively. The RL agent was trained using a combination of policy gradient methods and Q-learning algorithms, optimized for minimizing decision error. The results indicate that RL can effectively capture complex decision-making patterns in architectural design, approximating expert-level decisions.

4.2: Computational Efficiency

The time efficiency of each method was assessed by measuring the average time required to reach a decision under varying system conditions. The computational complexity of each decision-making process was analyzed, taking into account the algorithmic operations and the decision space explored. Table 4.2 presents the findings.

| Method | Average Decision Time (seconds) |
|------------------------|---------------------------------|
| Expert Architects | 300 |
| Rule-Based System | 120 |
| Heuristic-Based System | 180 |
| RL-Based System | 60 |

Table 4.2: Time Efficiency in Decision Making

Interpretation

The RL-based system demonstrated superior computational efficiency, with an average decision time of 60 seconds. This efficiency is attributed to the use of deep Q-networks (DQN) and experience replay, which enable rapid convergence and decision-making. The rule-based and heuristic-based systems required 120 and 180 seconds, respectively, highlighting the computational overhead associated with predefined rules and heuristics. The reduction in decision time by the RL system suggests its potential for real-time applications in large-scale software systems.

4.3: System Adaptability

Adaptability was evaluated by subjecting each system to dynamic and unpredictable changes in the software environment, such as varying load conditions and new architectural constraints. The adaptability score reflects the system's ability to adjust and optimize decisions under these varying conditions. Table 4.3 details the results.

| Method | Adaptability Score (0-100) |
|------------------------|----------------------------|
| Expert Architects | 95 |
| Rule-Based System | 60 |
| Heuristic-Based System | 70 |
| RL-Based System | 85 |

Table 4.3: System Adaptability

Interpretation

The RL-based system achieved an adaptability score of 85, outperforming traditional methods in handling dynamic changes. This adaptability is largely due to the RL agent's training on diverse state-action pairs, which allowed it to generalize well to unseen scenarios. The score of 85 reflects the system's capacity to optimize architectural decisions in response to real-time environmental changes, such as fluctuating user demand or system resource availability.

4.4: Overall System Performance Improvement

The overall system performance improvement was quantified by measuring key performance indicators (KPIs) such as response time, scalability, and maintainability. The improvement score encapsulates the percentage increase in system efficiency and effectiveness due to the architectural decisions made. Table 4 summarizes the performance improvements.

| Method | Improvement Score (%) |
|------------------------|-----------------------|
| Expert Architects | 100 |
| Rule-Based System | 50 |
| Heuristic-Based System | 65 |
| RL-Based System | 80 |

Table 4.4: Overall System Performance Improvement

Interpretation

The RL-based system resulted in an 80% improvement in overall system performance. This score reflects substantial enhancements across multiple KPIs, facilitated by optimized architectural configurations. The use of proximal policy optimization (PPO) algorithms in the RL agent enabled fine-tuning of system parameters, leading to improved resource allocation and system throughput. While the system did not fully achieve the expert architects' benchmark of 100%, it significantly

outperformed the rule-based and heuristic-based systems, which showed improvement scores of 50% and 65%, respectively.

4.5: Comprehensive Evaluation

| Criteria | Sub-Criteria | Expert Architects | Rule-Based System | Heuristic-Based System | RL-Based System |
|--------------------|-------------------------------|-------------------|-------------------|------------------------|-----------------|
| Decision Quality | Correctness (%) | 100 | 75 | 82 | 90 |
| | Consistency (%) | 98 | 70 | 80 | 88 |
| | Justifiability | High | Medium | Medium | High |
| Efficiency | Average Time (s) | 300 | 120 | 180 | 60 |
| | Computational Complexity | Moderate | Low | Moderate | High |
| | Scalability | High | Low | Medium | High |
| Adaptability | Load Handling Capacity | High | Low | Medium | High |
| | Response to New Constraints | Excellent | Poor | Good | Excellent |
| | Flexibility | High | Low | Medium | High |
| Performance Impact | Response Time Improvement (%) | 30 | 15 | 20 | 25 |
| | Scalability Improvement (%) | 35 | 10 | 18 | 28 |
| | Maintainability | Excellent | Poor | Good | Very Good |
| Robustness | Fault Tolerance | High | Low | Medium | High |

| | | | | | |
|---------------------------|-----------------------------|----|----|----|----|
| | Recovery Time (s) | 10 | 60 | 30 | 20 |
| Overall User Satisfaction | User Feedback Score (0-100) | 95 | 60 | 75 | 85 |

Table 4.5: Comprehensive Evaluation of Architectural Decision-Making Methods

Interpretation

The comprehensive evaluation in Table 4.5 provides a multidimensional assessment of various architectural decision-making methods. The RL-based system consistently performed well across most criteria, notably excelling in decision quality, efficiency, adaptability, and overall user satisfaction. It outperformed traditional methods in key areas such as response to new constraints and fault tolerance. The results highlight the RL-based system's ability to provide high-quality, efficient, and adaptable solutions, making it a strong candidate for large-scale software system architecture.

V. DISCUSSION

5.1: Results

The results reveal that the reinforcement learning (RL)-based approach to architectural decision-making offers notable advantages over traditional methods. The RL-based system achieved a high decision accuracy of 90%, closely mirroring the expert architects' decisions (100%). This suggests that RL effectively models complex decision-making processes and could serve as a viable alternative to expert-driven approaches.

In terms of efficiency, the RL-based system demonstrated the fastest decision-making time at 60 seconds, outperforming rule-based (120 seconds) and heuristic-based systems (180 seconds). This efficiency, aided by techniques like deep Q-networks (DQN) and experience replay, highlights the system's suitability for real-time applications.

The RL-based system also excelled in adaptability, scoring 85, indicating strong performance in handling dynamic changes such as varying loads and new constraints. This adaptability is crucial for software systems operating in volatile environments, and the RL system's flexibility was comparable to that of expert architects.

Overall system performance improved by 80% with the RL-based approach, reflecting significant gains in response time, scalability, and maintainability. Despite a slight gap in achieving the highest possible improvement scores and decision justifiability compared to expert architects, the RL system outperformed other automated methods, underscoring its effectiveness.

5.2: Future Scope

Future research should focus on refining RL models to enhance decision accuracy and justifiability. This might involve integrating advanced techniques such as hierarchical RL or multi-agent systems. Exploring hybrid models that combine RL with other AI methods could also be beneficial, leveraging strengths from complementary technologies.

Practical implementation of RL-based systems in real-world environments should be examined through case studies and pilot projects to identify challenges and best practices. Additionally, addressing the ethical and social implications of AI-based decision-making, including transparency and accountability, is crucial for stakeholder trust and acceptance.

VI. CONCLUSION

This study evaluated the use of reinforcement learning (RL) for architectural decision-making in large-scale software systems, comparing it to traditional rule-based and heuristic methods. The RL-based system showed a high decision accuracy of 90%, nearly matching expert architects' 100%, and achieved the fastest decision time of 60 seconds, significantly better than the 120 and 180 seconds required by rule-based and heuristic systems, respectively.

The system's adaptability, with a score of 85, demonstrated its ability to handle dynamic changes effectively. Additionally, the RL-based approach improved overall system performance by 80%, with gains in response time, scalability, and maintainability.

Despite a minor gap in decision justifiability compared to experts, the RL system outperformed traditional methods in key areas.

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